



APPENDIX E RESEARCH INVOLVING DECEASED INDIVIDUALS

Research subjects have rights under HIPAA to request an accounting of disclosure of PHI for research purposes. Please retain this documentation for purposes of tracking PHI.

Assurances under this provision of the HIPAA Privacy Rule requires investigators who intend to conduct research involving decedent's information must make certain representations before using or disclosing decedent's protected health information for research. "Use" is sharing PHI within the USA entity. A "disclosure" is sharing PHI with someone outside the USA entity.

Records/specimens of deceased individuals that contain PHI will be examined and will adhere to all the following:

1. The research requires the review of PHI solely for research on deceased individuals.
2. The access sought to PHI is necessary for research purposes.
3. If requested, documentation of the death of the individual(s) whose protected health information that will be accessed will be provided.

Research staff needing access to protected health information:

Signature of Principal Investigator*

Date

Please note:

- IRB review is not necessary for research involving deceased individuals. However, if information is linked to identifiers that could affect family members of the deceased (i.e., genetic makers of certain diseases), are maintained, a project may be required to submit the research to the IRB. In that case, this form will not need to be submitted

*Please sign electronically or print and file with research records